

Please type a plus sign (+) inside this box

+

Approved for use through 09/30/00. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

CONTINUED PROSECUTION APPLICATION (CPA) REQUEST TRANSMITTAL

Submit an original, and a duplicate for fee processing.

(Only for continuation or Divisional application under 37 CFR 1.53(d))

CHECK BOX, if applicable:

☐ DUPLICATE

Address to:

Assistant Commissioner for Patents
Box CPA
Washington, DC 20231

Attorney Docket No.	02581-P0045A
First Named Inventor	Hubert Manhes
Express Mail Label No.	
Total Pages	3

This is a request for a (Continued Prosecution Application (CPA)) of prior application number 09/214,875 filed January 14, 1999, entitled **Trocar Sleeve For Endoscopic Applications**.

NOTES

FILING QUALIFICATION: The prior application identified above must be a nonprovisional application that is either: (1) complete as defined by 37 CFR 1.51(b) and filed on or after June 8, 1995, or (2) the national stage of an international application in compliance with 35 U.S.C. 371 and filed on or after June 8, 1995.

C-I-P NOT PERMITTED: A continuation-in-part application cannot be filed as a CPA under 37 CFR 1.53(d), but must be filed under 37 CFR 1.53(b).

EXPRESS ABANDONMENT OF PRIOR APPLICATION: The filing of this CPA is a request to expressly abandon the prior application as of the filing date of the request for a CPA. 37 CFR 1.53(b) must be used to file a continuation, divisional, or continuation-in-part of an application that is not to be abandoned.

ACCESS TO PRIOR APPLICATION: The filing of this CPA will be construed to include a waiver of confidentiality by the applicant under 35 U.S.C. 122 to the extent that any member of the public who is entitled under the provisions of 37 CFR 1.14 to access to, copies of, or information concerning, the prior application may be given similar access to, copies of, or similar information concerning the other application or applications in the file jacket.

- ☐ Enter the unentered amendment after Final previously filed on December 2, 1999.
Under 37 CFR 1.116 (Amendment After Final Rejection) in the prior nonprovisional application.
- ☒ A preliminary amendment is enclosed.
- This application is filed by fewer than all the inventors named in the prior application, 37 CFR 1.53(d)(4).
 - ☐ **DELETE** the following inventor(s) named in the prior nonprovisional application:
.....
 - ☐ The inventor(s) to be deleted are set forth on a separate sheet attached hereto.
- ☐ A new power of attorney or authorization of agent (PTO/SB/81) is enclosed.
- ☐ Information Disclosure Statement (IDS) is enclosed
 - ☐ PTO-1449
 - ☐ copies of IDS Citations

[Page 1 of 2]

Burden Hour Statement: This form is estimated to take 0.2 hour to complete. Times will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Office, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OF COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box CPA, Washington, DC 20231.

03/14/2000 AIBRAHIM 00000174 09214875

01 FC:131

690.00 DP

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) EXTRA NUMBER	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS (37 CFR 16(c))	8 - 20 =	0	x \$18 =	
	INDEPENDENT CLAIMS (37 CFR 1.16(B))	1 - 3 =	0	x 78 =	
	MULTIPLE DEPENDENT CLAIMS (if applicable)(37 CFR 1.16(d))			+ \$260 =	
				BASIC FEE (37 CFR 1.16(a))	\$690.00
				Total of above Calculations =	
	Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28).				
TOTAL =				\$690.00	

6. Small entity status:

- a. ☐ A small entity statement is enclosed.
- b. ☐ A small entity statement was filed in the prior nonprovisional application and such status is still proper and desired.
- c. ☐ is no longer claimed.

7. The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. 19-4516:

- a. ☒ Fees required under 37 CFR 1.16 (national filing).
- b. ☒ Fees required under 37 CFR 1.17 (patent processing).
- c. ☐ Fees required under 37 CFR 1.18 (patent issue).

8. ☒ A check in the amount of \$690.00 is enclosed.☐ Other:**NOTE**

*The prior application's correspondence address will carry over to this CPA
UNLESS a new correspondence address is provided below*


10. NEW CORRESPONDENCE ADDRESS☐ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or ☒ New correspondence address below

NAME	Wesley W. Whitmyer, Jr.; Yuri B. Kateshov				
	St. Onge Steward Johnston & Reens LLC				
	986 Bedford Street				
CITY	Stamford	STATE	Connecticut	ZIP CODE	06905-5619
COUNTRY	United States	TELEPHONE	203 324-6155	FAX	203 327-1096

11. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

NAME	Wesley W. Whitmyer, Jr.; Yuri B. Kateshov
SIGNATURE	
DATE	March 6, 2000

MAR 14 2000

PTO/SB/17 (12/97)

Approved for use through 09/30/00. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL

Patent fees are subject to annual revision on October 1.

These are the fees effective October 1, 1997.

Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12.

Complete if Known

Application No.	09/214,875
Filing Date	January 14, 1999
First Named Inventor	Hubert MAHNES
Group Art Unit	3731
Examiner Name	William W. Lewis
Attorney Docket Number	02581-P0045A WWW/YK

TOTAL AMOUNT OF PAYMENT \$690.00**METHOD OF PAYMENT (check one)**

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payment to:

Deposit
Account
Number
Deposit
Account
Name

19-4516

St. Onge Steward Johnston & Reens LLC

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 ☐ Charge the issue Fee Set in 37 CFR 1.18 of the Mailing of the Notice of Allowance

2. ☒ Payment Enclosed:

- ☒ Check ☐ Money ☐ Other
Order

FEE CALCULATION**1. BASIC FILING FEE**

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	690	201	340	Utility filing fee	\$690.00
106	310	206	155	Design filing fee	
107	480	207	240	Plant filing fee	
108	760	208	380	Reissue filing fee	
114	150	214	75	Provisional filing fee	
SUBTOTAL (1)					\$690.00

2. EXTRA CLAIMS FEES

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
103	18	203	9	Claims in excess of 20	
102	78	202	39	Independent claims in excess of 3	
104	260	204	130	Multiple dependent claims	
108	78	208	39	Reissue independent claims Over original patent	
110	18	210	9	Reissue claims in excess of 20 And over original patent	
SUBTOTAL (2)					(\$) - 0 -

Extra
ClaimsFee from
Below

Fee Paid

Total Claims
Independent
Claims

8

-20**=

0

X

=

Multiple Dependent (No.)

1

-3 =

0

X

=

**or number previously paid, if greater; For Reissues, see below

3. ADDITIONAL FEES

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing for or oath	
127	50	227	25	Surcharge - late provisional filing or cover Sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840	113	1,840	Requesting publication of SIR after Examiner Action	
115	110	215	55	Extension for reply within first month	
116	380	216	190	Extension for reply within second month	
117	870	217	435	Extension for reply within third month	
118	1,360	218	680	Extension for reply within fourth month	
128	1,850	228	925	Extension for reply within fifth month	
119	300	219	150	Notice of Appeal	
120	300	220	150	Filing a brief in support of an appeal	
121	260	221	130	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,210	241	605	Petition to revive - unintentional	
142	1,210	242	605	Utility issue fee (or reissue)	
143	430	243	215	Design issue fee	
144	580	244	290	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of Informational Disclosure Stmt	
581	40	581	40	Recording each patent assignment per Property (times number of properties)	
146	790	246	395	Filing a submission after final rejection (37 CFR 1.129(a))	
149	279	249	395	For each additional invention to be Examined (37 CFR 1.129(b))	
Other fee (specify) _____					
Other fee (specify) _____					
SUBTOTAL (3)					(\$) - 0 -

* Reduced by Basic Filing Fee paid

SUBMITTED BY St. Onge Steward Johnston & Reens LLC

Complete (if applicable)

Typed or
Printed Name

Yuri B. Kateshov

Reg. Number

34,466

Signature

Date

03/06/00

Deposit Account
User ID

19-4516

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORM TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.